

EBOCS

OVERVIEW

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European Business Ownership and Control Structures

With the financial support of the Prevention of and Fight against Crime
Programme European Commission - Directorate-General Home Affairs



WHAT IS EBOCS?

Access **Ownership**
Simplification
Prototype IT Data Service
Bownet **AML / CFT** **Visual Maps**
CCA, FIU, LEA, ARO
Control Structures
Business Registers
Lead Generation

EBOCS IN NUMBERS

 **18 Months**

Duration of Project.

 **650,000**

Total Funding

 **14**

Project Participants

 **5**

Countries providing BR information

 **22,005,086**

Companies searchable on EBOCS network

 **43,187,184**

Officers and Owners searchable

PROJECT PARTNERS



"InfoCamere"



SERVICES



Natural Person



Legal Person

SEARCH REGISTERED ENTITY



Search Registered Entity

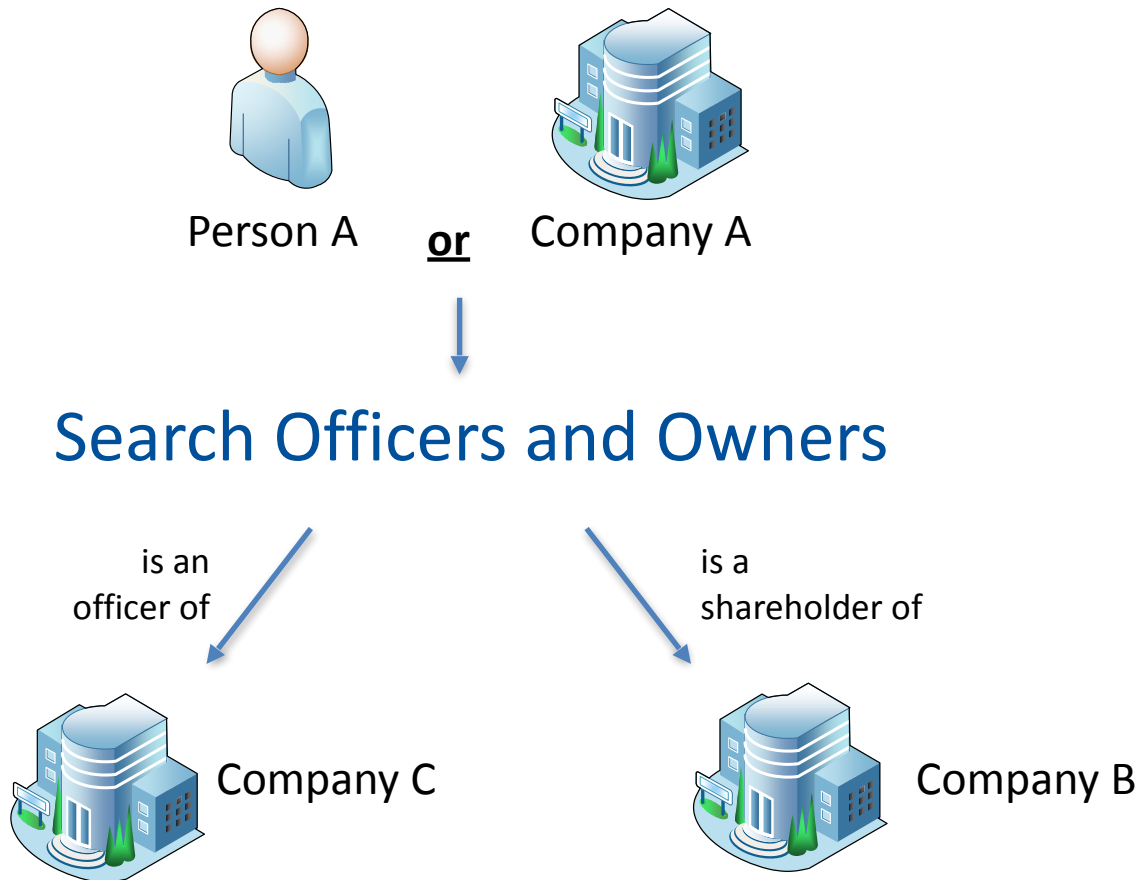


[List of natural / legal persons]

Name, Address, REID, Status, Registration Date,
Date of Birth, VAT Number, Previous Names...

- **Start:** with partial information on a natural or legal person
- **Objective:** is to find & identify a natural or legal person

SEARCH OFFICERS AND OWNERS



- **Start:** with a unique ID of an natural or legal person
- **Objective:** to find the companies where the person/company is an officer or an owner

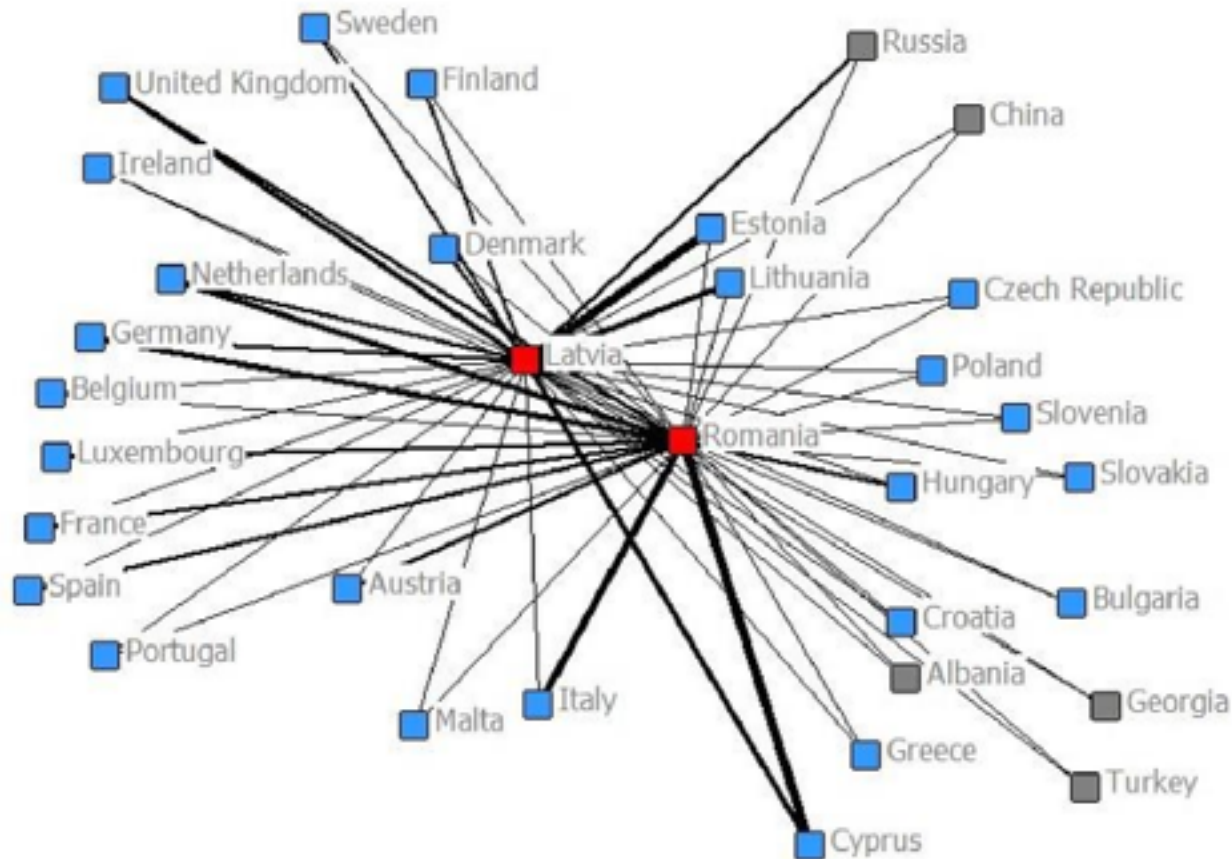
GET OFFICERS AND OWNERS



- **Start:** with a unique ID of legal person
- **Objective:** to find the companies where the person/company is an officer or an owner

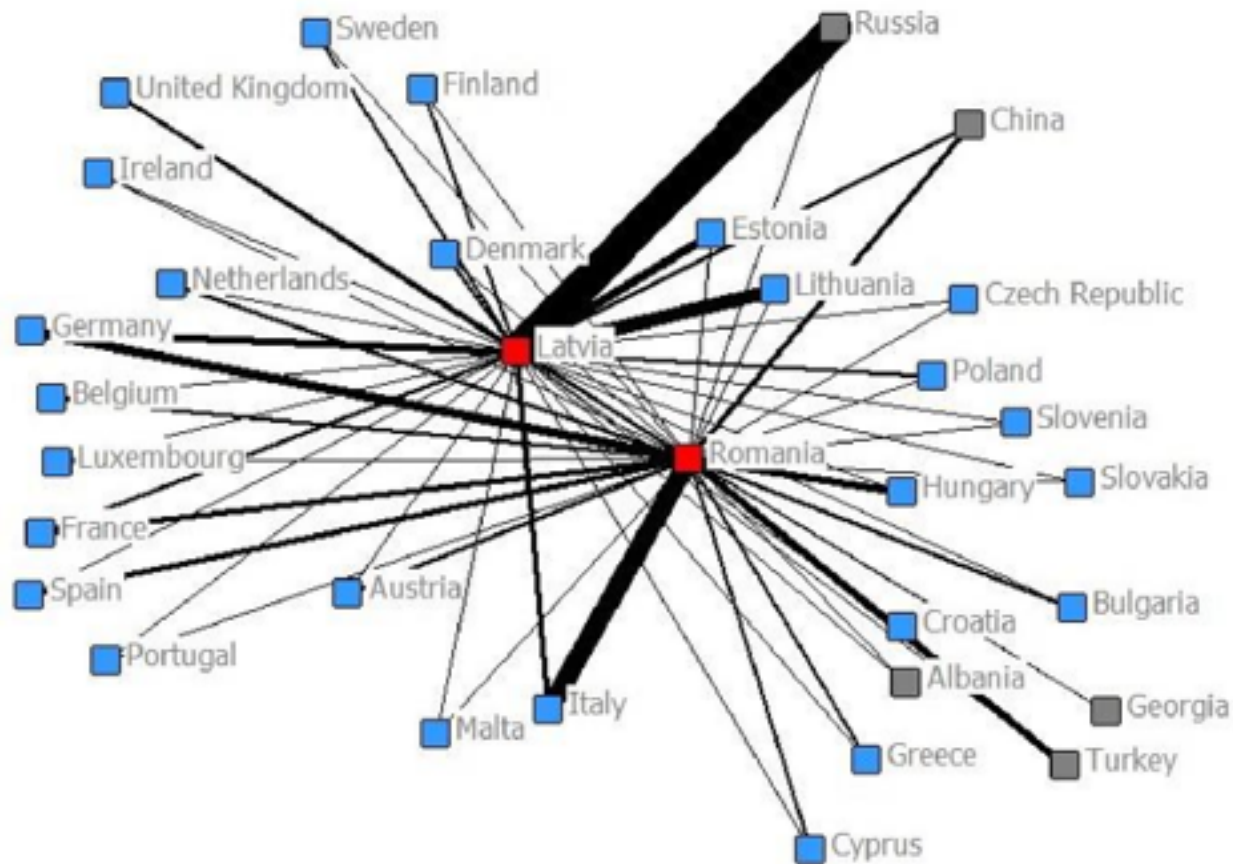
SHAREHOLDER LINKS – LEGAL PERSONS

Number of legal persons shareholders of Latvian and Romanian companies
(% on Romanian and Latvia total – excluding LV and RO shareholders)



SHAREHOLDER LINKS – NATURAL PERSONS

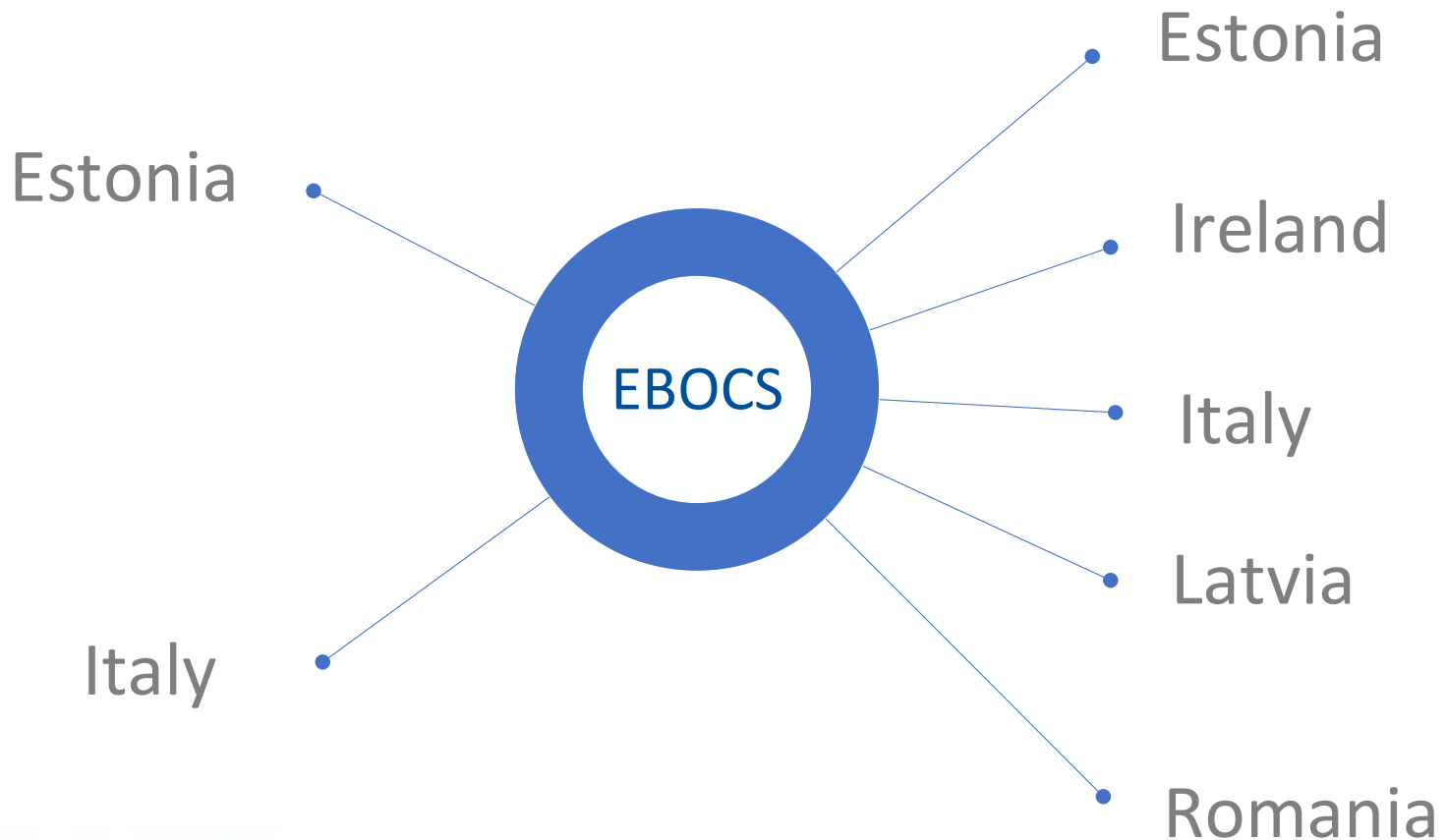
Number of natural persons shareholders of Latvian and Romanian companies
(% on country total – excluding LV and RO shareholders)



EBOCS NETWORK

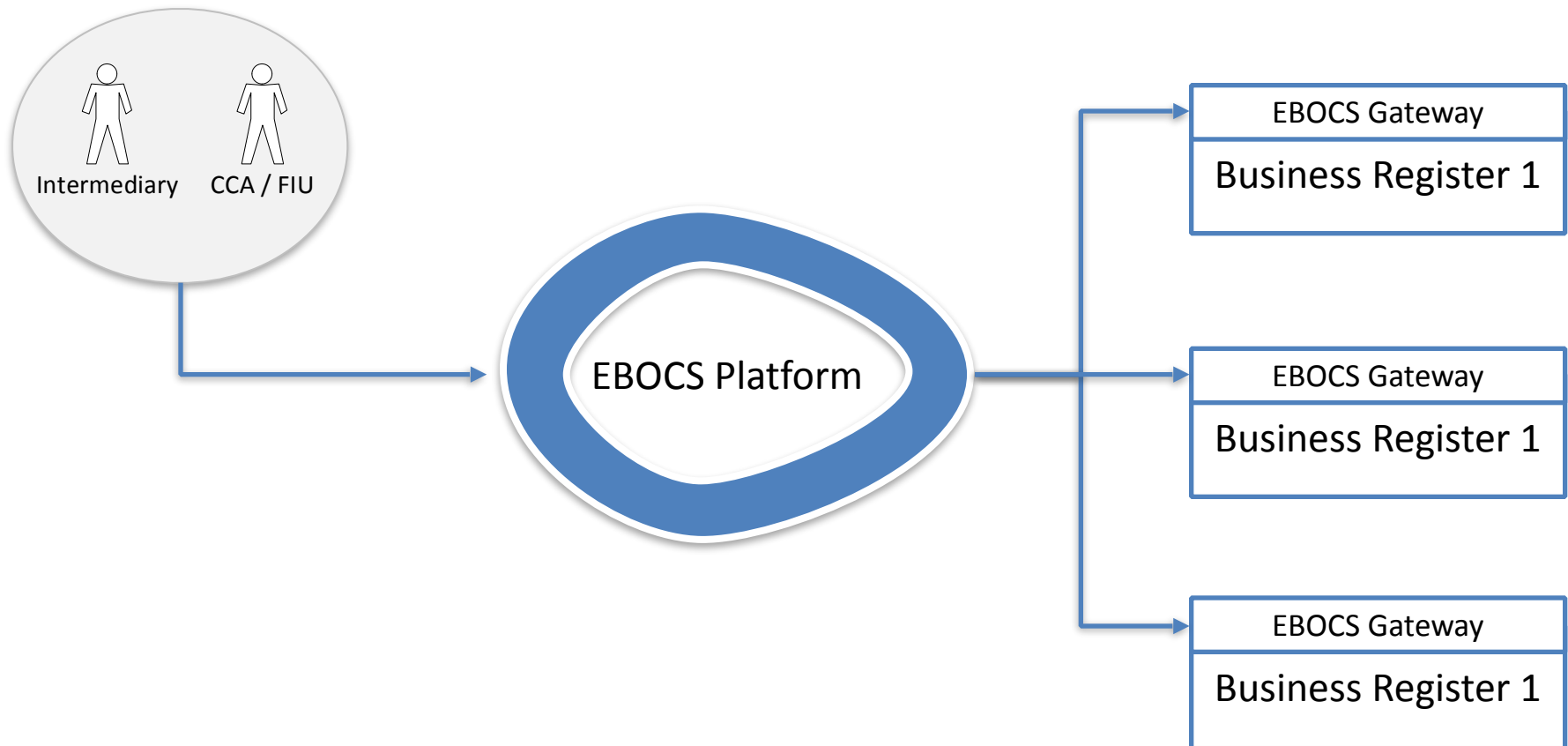
Data Consumers

Data Providers



TECHNICAL ARCHITECTURE

Data Consumers



VISUALISATION TOOLS

ERIK
Centre of Registers
and Information
Systems

Only valid relations
Only ownership relations
Show recurrent relations
Activate timeline

international bank

FIND

Registration date
Start date
End date
Date of birth
Passport number

VAT number
Address

Map of Europe showing highlighted regions (UK, Ireland, Italy).

VISUALISATION TOOLS



VISUALISATION TOOLS

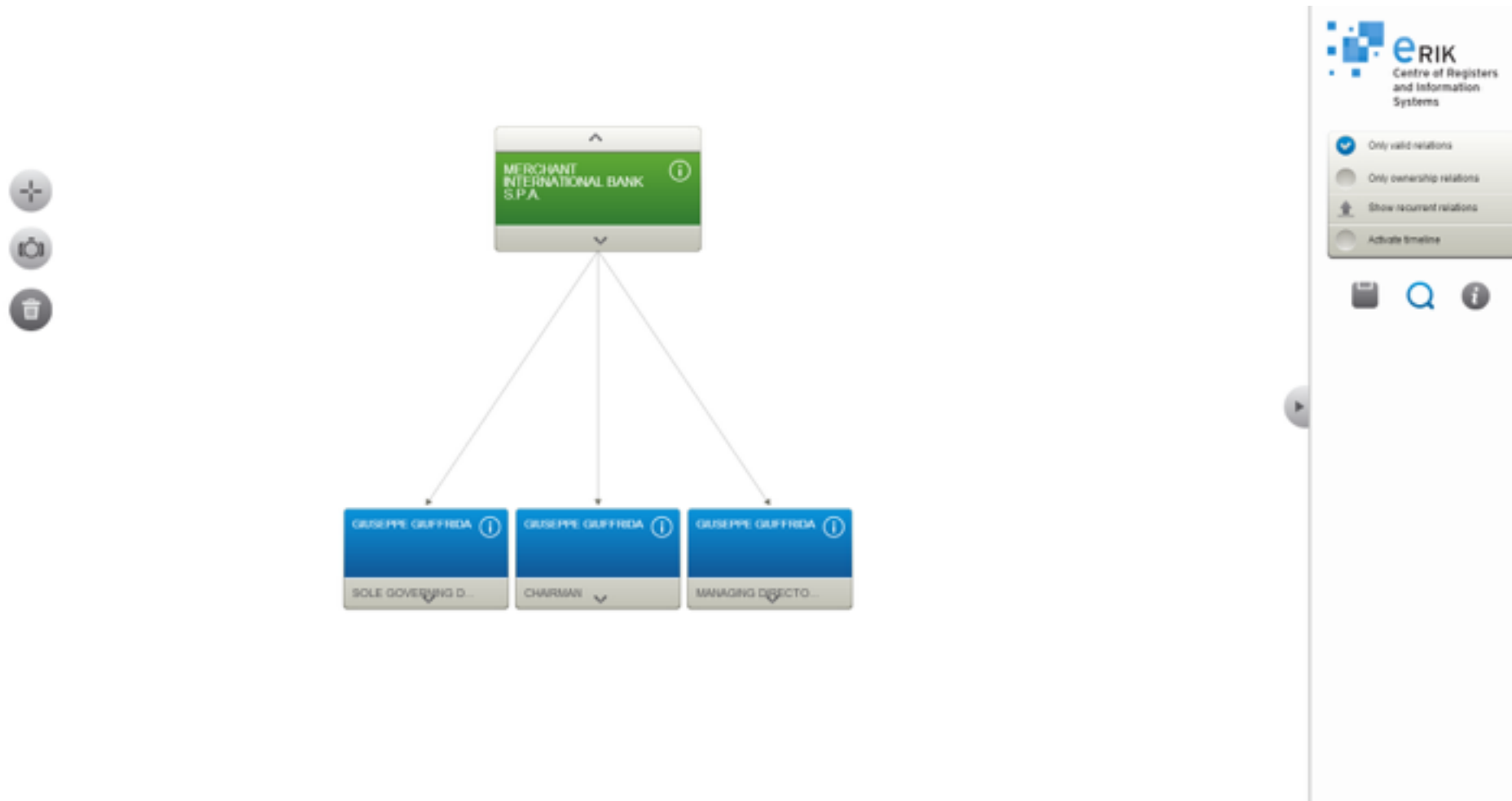
The screenshot shows a web application interface with a top navigation bar containing four icons: a magnifying glass, a house with a blue notification bubble labeled '56', a person silhouette, and a document icon. Below the navigation bar is a search bar with the text 'international bank' and a blue 'FIND' button with a magnifying glass icon. The main content area is divided into two sections. On the left, there are seven input fields arranged in two columns: 'Registration date', 'Start date', 'End date', 'Date of birth', 'Passport number' in the first column, and 'VAT number', 'Address' in the second column. On the right, there is a map of Europe with several countries highlighted in blue, including the United Kingdom, Ireland, France, Italy, and Germany.

VISUALISATION TOOLS

Name	REID	Type	Status	VAT number	Country
ROMANIAN INTERNATIONAL BANK SA BUCUREȘTI SUCURSALA CONSTANȚA	47	Joint-stock company	in activity	15227975	RO
ROMANIAN INTERNATIONAL BANK SA BUCUREȘTI SUCURSALA CÂMPULUNG	ROONRC.19108195-66	Joint-stock company	in activity	19108195	RO
ROMANIAN INTERNATIONAL BANK SA BUCUREȘTI SUCURSALA PITEȘTI	ROONRC.18091362-12	Joint-stock company	striking off	18091362	RO
ROMANIAN INTERNATIONAL BANK SA BUCUREȘTI SUCURSALA SLOBOZIA	ROONRC.15254627-19	Joint-stock company	in activity	15254627	RO
Akcija sabiedrība "Baltic International Bank"	LVRE.40003127883-76	Stock corporation	Active	40003127883	LV
BANK BALL INTERNATIONAL S.R.L. IN LIQUIDAZIONE	ITRI.AN125456-57	LIMITED LIABILITY COMPANY (LLC)	CLOSED DOWN	01349890424	IT
LOPEZ ACOSTA MARIA FLORIFE - ORO BANK INTERNATIONAL -	ITRI.BS524690-45	SOLE PROPRIETORSHIP; INDIVIDUAL ENTERPRISE; INDIVIDUAL FIRM; SOLE TRADER COMPANY	CLOSED DOWN	LPZMFL71B6826040	IT
MERCHANT INTERNATIONAL BANK S.P.A.	ITRI.ME116596-06	JOINT-STOCK COMPANY	REGISTERED	01342080833	IT
BANK OF CREDIT AND COMMERCE INTERNATIONAL S.A.	ITRI.MI1239451-20	OTHER TYPES	CLOSED DOWN	04983630585	IT

Select

VISUALISATION TOOLS



VISUALISATION TOOLS (PRE-EBOCS)

Name: KAVANAGH OVERSEAS VENTURES LIMITED

REID:

Timeline: 26.6.2012 -

Role: Shareholder

Percentage of share: 33.4%

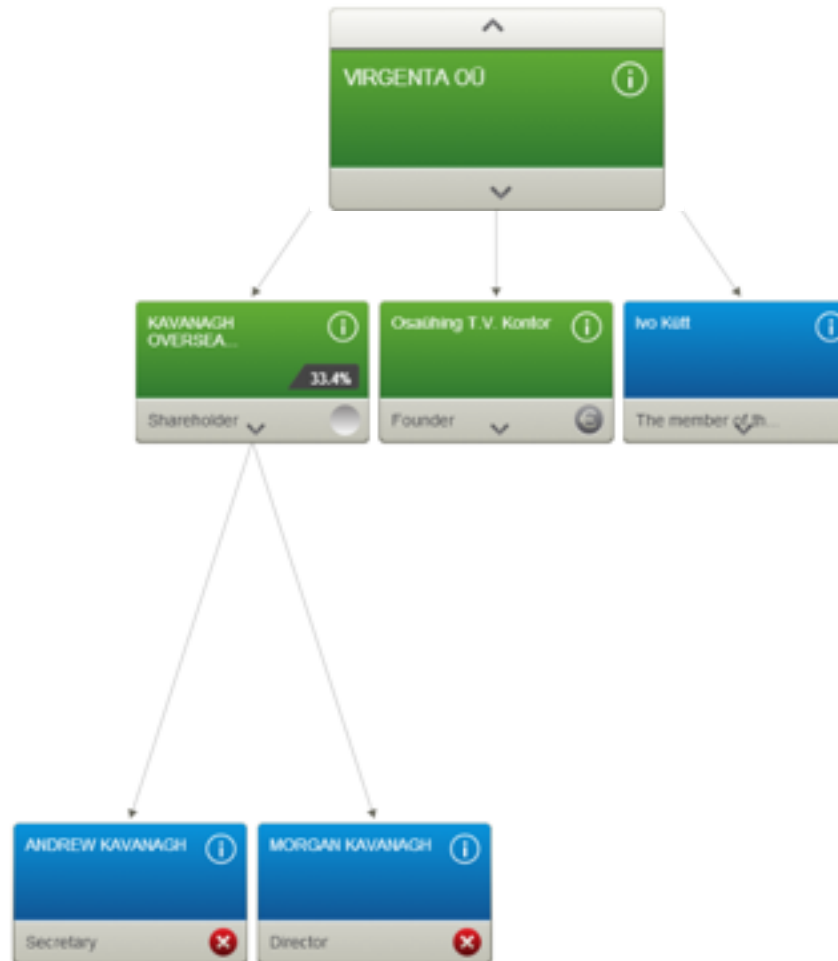
Country: IE

List documents

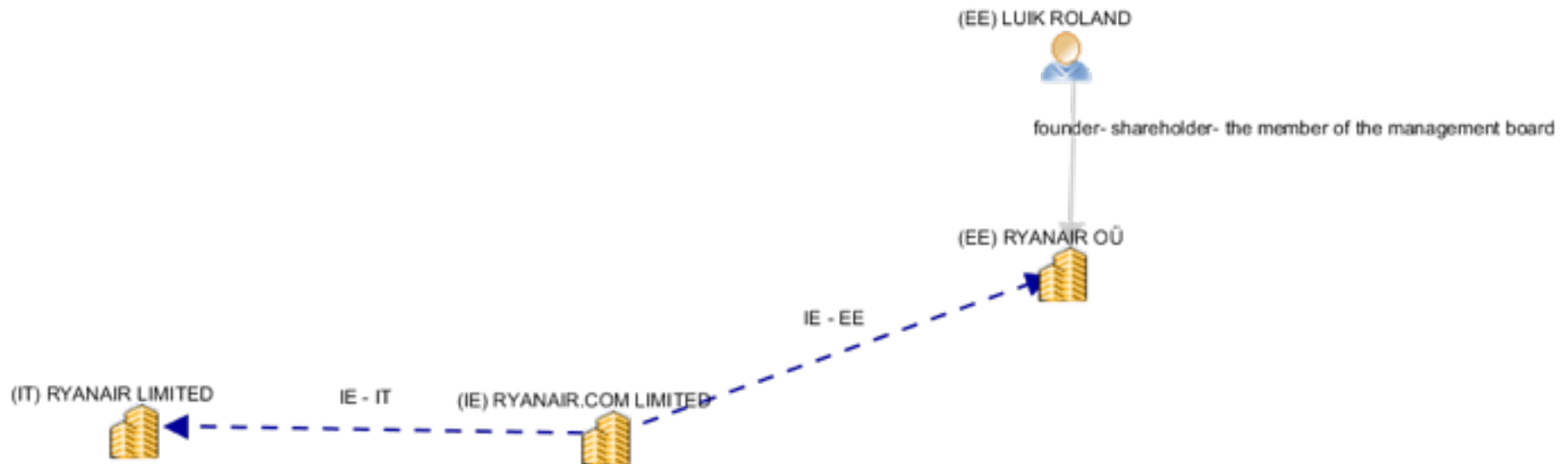
Identify person



VISUALISATION TOOLS (POST-EBOCS)



VISUALISATION TOOLS



VISUALISATION TOOLS



- Central Platform (Complete)
- Partner EBOCS Gateways (Complete)
- Visualisation Tool Integration (Complete)
- Testing Phase (In Progress)
- Sustainability Consideration (In Progress)

SUSTAINABILITY CONSIDERATIONS

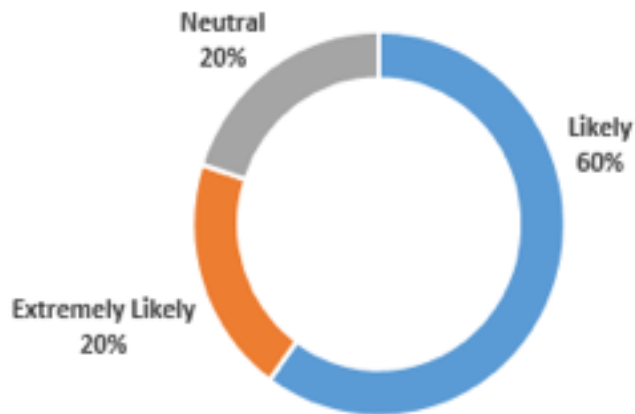
- Legal & Policy
- Technical
- Funding
- Operational

THE AML DIRECTIVE – ARTICLE 29

- Member States shall ensure that the information on **beneficial ownership** is held in a **central register**
- The data must be accessible
 - to competent authorities and FIUs, without any restriction;
 - to “obliged entities” (e.g. banks) for due diligence
 - to any persons ... that can demonstrate a legitimate interest.
- The central register shall ensure timely and unrestricted access by competent authorities and FIUs, without alerting the entity concerned. It shall also allow timely access by obliged entities
- Member States shall ensure that competent authorities and FIUs are able to provide the information **to the competent authorities and FIUs of other Member States** in a timely manner

BR QUESTIONNAIRE RESULTS

Policy Developments: Data Provision

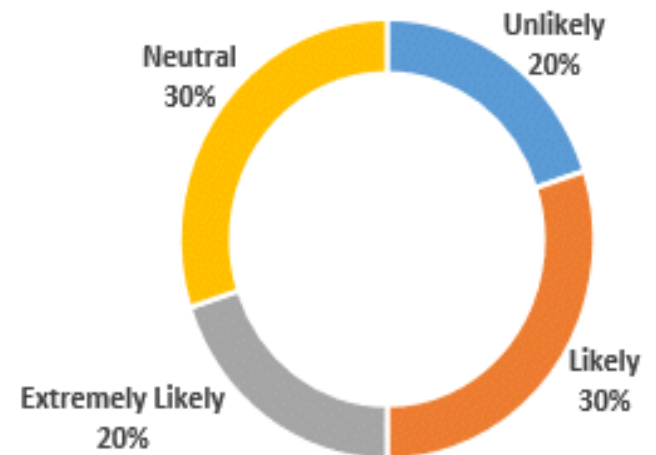


80% of the Business Registry partners are of the opinion that their business register will be utilised as the central register of information on the ultimate beneficial owners of corporate and other legal entities, as required under the 4th anti-money laundering directive (AMLD).

BR QUESTIONNAIRE RESULTS

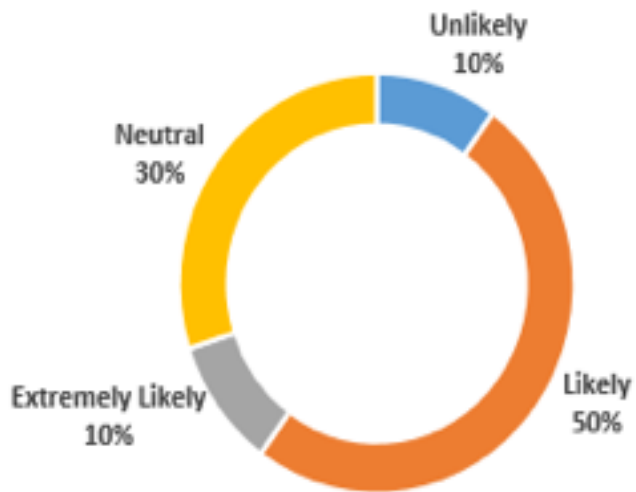
Policy Developments: Public Access

Half of respondents think that it is at least likely that their Business Register will allow full public access to beneficial ownership information.



BR QUESTIONNAIRE RESULTS

Policy Developments: International Access



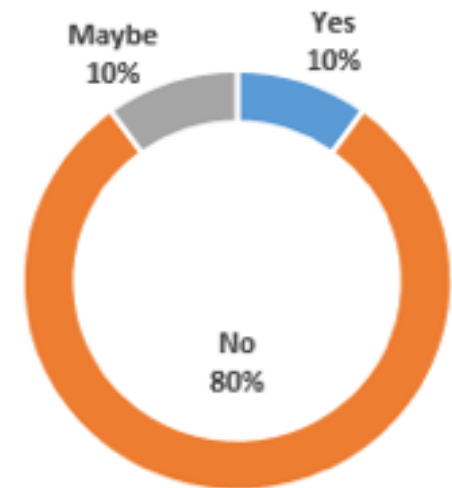
Subject to the appropriate levels of security, most partners believe that it is likely that their business register would allow direct access by authorities such as international law enforcement agencies.

BR QUESTIONNAIRE RESULTS

Policy Developments: Data Access

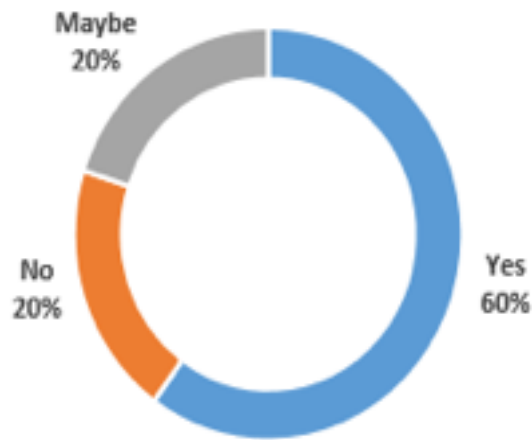
A significant majority of respondents indicated that currently there is no legislation or policies that require identification of the individuals accessing their information on Officers and Owners.

Estonia indicated that policies do exist and that every inquiry must be logged.



BR QUESTIONNAIRE RESULTS

Policy Developments: Fees



The majority of respondents stated that they would need to recover fees and charges for the provision of Officer and Owner information.

THANK YOU...